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DATE:	June 14, 2004	TOTAL # OF PAGES: (INCLUDING THIS COVER SHEET)	24
TO:	Examiner V. Patel Group Art Unit 3676	FAX #:	703.746.3814
FIRM NAME:	U.S. Patent and Trademark Office	PHONE #:	703.308.8495
FROM:	S. Wade Johnson, P.E., Esq.	FAX #:	612.340.8856
PHONE #:	612.340.8835	EMAIL:	johnson.wade@dorsey.com

**COMMENTS:**

- Attached please find:
- ♦ Copy of Return Receipt Postcard date stamped received by OIPE "JAN 20 2004" (1 page);
  - ♦ Copy of documents filed January 15, 2004 via First Class U.S. Mail:
    - Transmittal Letter (1 page);
    - Preliminary Amendment (20 pages)

First Named Inventor: Michael R. Oldenburg  
Client Name: Transcom, Inc.  
Application No.: 09/855,001  
Filing Date: May 14, 2001  
Application Title: **Retrofittable Severe Duty Seal For A Shaft**  
Attorney/LA Initials: DVP/SWJ/kap  
Faxed: 14 June 2004  
Our Dkt No.: 14036

ORIGINAL WILL BE SENT VIA: ☐ MAIL ☐ E-MAIL ☐ MESSENGER ☐ AIR COURIER ☒ WILL NOT BE SENT

PLEASE CONTACT KRISANNE POPOVITS AT (612) 343-7965 IF THIS TRANSMISSION IS INCOMPLETE OR CANNOT BE READ.

REFERENCE # 7421/456248-7

DORSEY & WHITNEY LLP · WWW.DORSEY.COM · T 612.340.2600 · F 612.340.2868  
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USA CANADA EUROPE ASIA

Docket: 14036

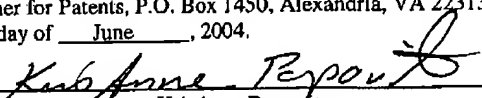
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Michael R. Oldenburg	Examiner: V. Patel Group Art Unit: 3676
Application No.:	09/855,001	
Filing Date:	May 14, 2001	
Title:	RETROFITTABLE SEVERE DUTY SEAL FOR A SHAFT	

## COMMUNICATION

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

I hereby certify that this document is being sent via Facsimile under 37 C.F.R. 1.6(d) to: Examiner V. Patel, 703.746.3814, Group Art Unit 3676, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 14 day of June, 2004.

  
KrisAnne Popovits

Dear Sir:

This communication is submitted in response to a telephone conference received from Examiner V. Patel on June 14, 2004, in which the Examiner claims that the preliminary amendment for the above-identified patent application, as filed with the Office on January 15, 2004, was never received. Enclosed herewith is a true and complete copy of the preliminary amendment as originally filed with the Office on January 15, 2004. Also enclosed is a true and complete copy of the receipt postcard, date stamped received by the Office of Initial Patent Examination on January 20, 2004.

We hope that the enclosures serve as sufficient evidence that the Applicant filed the preliminary amendment in a timely manner. If further clarification is needed, the Office is invited to telephone the undersigned.

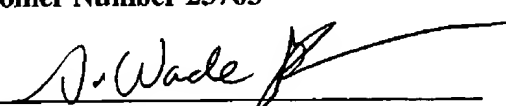
Respectfully submitted,

DORSEY & WHITNEY LLP  
Customer Number 25763

Date: \_\_\_\_\_

6-14-04

By: \_\_\_\_\_

  
S. Wade Johnson  
Reg. No. 50,873  
Intellectual Property Department  
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*The mail stamp of the United States Patent and Trademark Office on this return receipt postcard acknowledges the filing on the date stamped of the following:*

- ♦ Transmittal Letter (1 page);
- ♦ Preliminary Amendment (20 pages);
- ♦ Return Receipt Postcard

**Via First Class Mail**  
**with Certificate of Mailing**

JAN 20 2004

First Named Inventor: Michael R. Oldenburg  
Client Name: Transcom, Inc.  
Application No.: 09/855,001  
Filing Date: May 14, 2001  
Application Title: **Retrofittable Severe Duty Seal For A Shaft**  
Attorney/Paralegal Initials: DVP/SWJ/kap  
Mailed: 15 January 2004  
**Docket No.: 14036**



Docket: 14036

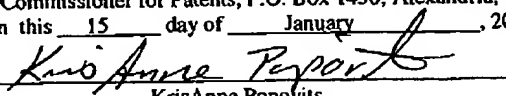
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Michael R. Oldenburg	Examiner: V. Patel Group Art Unit: 3676
Application No.:	09/855,001	
Filing Date:	May 14, 2001	
Title:	<b>RETROFITTABLE SEVERE DUTY SEAL FOR A SHAFT</b>	

## TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 15 day of January, 2004.

  
KrisAnne Popovits

Dear Sir:

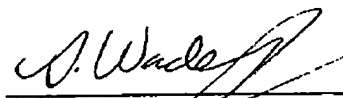
The following documents are enclosed in connection with the above-referenced patent application:

1. Preliminary Amendment (20 pages); and
2. Return Receipt Postcard.

Respectfully submitted,

DORSEY & WHITNEY LLP  
Customer Number 25763

Date: 1-15-04

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